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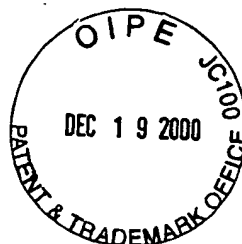


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/629,114	07/31/2000	Marc E. Strohwig	7451.0026-00

Finnegan Henderson Farabow
Garrett & Dunner LLP
1300 I Street NW
Washington, DC 20005



FORMALITIES LETTER



OC00000005411280

Date Mailed: 09/19/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$~~690~~⁴⁹⁰ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$156.
▪ ~~\$156~~^{\$156} for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 976.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 AGOITOM 00000120 09629114
710.00 OP
130.00 OP
160.00 OP
01 FC:101
01 FC:105
01 FC:102
9/19/00

Section 81
#3

PATENT
Customer Number 22,852
Attorney Docket No.: 7451.0026-00
InterTrust Ref. No.: IT-24.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

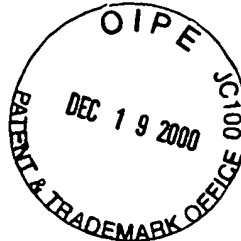
In re Application of:

Mark E. Strohwig, et al.

Serial No.: 09/629,114

Filed: July 31, 2000

For: METHODS AND SYSTEMS FOR
TRANSACTION RECORD
DELIVERY USING THRESHOLDS
AND MULTI-STAGE PROTOCOL



) Group Art Unit: Unassigned

) Examiner: Unassigned

Attention: **BOX MISSING PARTS**
Assistant Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

In response to the communication of September 19, 2000, the period of response having been extended one month by the request for extension and payment of extension fee filed concurrently herewith, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application and a copy of the Notice of Missing Parts. Please associate the enclosed declaration with the above identified application.

Applicants also submit an executed Assignment and Assignment Recordation Form Cover Sheet.

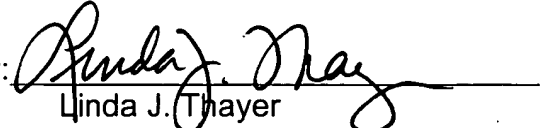
We enclose our check in the amount of \$1,150, which includes the basic filing fee of \$710.00, the extra claim fee of \$160.00, a surcharge fee of \$130.00, a Petition for Extension of Time fee of \$110.00 and a \$40.00 Assignment recordation fee.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: December 18, 2000

By: 
Linda J. Thayer
Reg. No. 45,681